

Docket No. 290488US0PCB



MAIL STOP PCT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Katsuhiko KOMURO, et al.

SERIAL NO: 10/577,948

GAU:

FILED: May 2, 2006

EXAMINER:

FOR: METHOD FOR PRODUCING CYCLIC ORGANIC SILICON COMPOUND AND ORGANIC SILICON RESIN HAVING ALCOHOLIC HYDROXYL GROUP

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

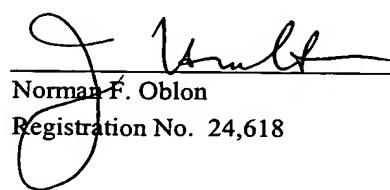
No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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3

U.S. PCT Application Serial No: 10/577,948

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Katsuhiko KOMURO, et al.

Docket No. 290488 US

STATEMENT OF RELEVANCY

- 1) References AI-AJ and AT-AU have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References [REDACTED] have been cited in the corresponding [REDACTED] Search Report. A copy of these references is being submitted herewith.
- 3) References AK-AS and AV-AY are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References [REDACTED] are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 290488US0PCT		SERIAL NO. 10/577,948	
LIST OF REFERENCES CITED BY APPLICANT 		APPLICANT Katsuhiko KOMURO, et al.					
		FILING DATE May 2, 2006		GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	TRADEMARK NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
AA							
AB							
AC							
AD							
AE							
AF							
AG							
AH							
	DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION		
					YES	NO	
AI	7 149901	06/13/1995	JP (with English abstract)			NO	
AJ	2003 98670	04/04/2003	JP (with English abstract)			NO	
AK	2002 249493	09/06/2002	JP (with English abstract and computer generated translation)			NO	
AL	2002 338583	11/27/2002	JP (with English abstract and computer generated translation)			NO	
AM	8 231924	09/10/1996	JP (with English abstract and computer generated translation)			NO	
AN	9 176321	07/08/1997	JP (with English abstract and computer generated translation)			NO	
AO	2001 213963	08/07/2001	JP (with English abstract and computer generated translation)			NO	
AP	2003 146832	05/21/2003	JP (with English abstract and computer generated translation)			NO	
AQ	10 87834	04/07/1998	JP (with English abstract and computer generated translation)			NO	
AR	11 116681	04/27/1999	JP (with English abstract and computer generated translation)			NO	
AS	2003 149822	05/21/2003	JP (with English abstract and computer generated translation)			NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
AT	TROST et al., "MARKOVNIKOV ALKYNE HYDROSILYLATION CATALYZED BY RUTHENIUM COMPLEXES", JOURNAL OF THE AMERICAN CHEMICAL SOCIETY, Vol. 123, No. 50, pages 12726-12727, 2001						
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AW	BEAR et al., "DIASTEREOSLECTIVE NUCLEOPHILIC SUBSTITUTION REACTIONS OF OXASILACYCLOPENTANE ACETALS: APPLICATION OF THE "INSIDE ATTACK" MODEL FOR REACTIONS OF FIVE-MEMBERED RING OXOCARBENIUM IONS", J. ORG. CHEM., Vol. 67, pages 2056-2064, 2002						
AX	ROSSMY et al., "1,2-SILOXACYCLOALKANE- 1. MITT. SYNTHESE UND POLYMERISATIONSVERHALTEN", MAKROMOLEKULARE CHEMIE, Vol. 73, pages 85-108, 1964						
AY	KOERNER et al., "1,2-SILOXACYCLOALKANE- 2. MITT SYNTHESE UND CHEMISCHES VERHALTEN VON 1,1'-DI-(1-METHYL-1,2-SILOXACYCLOPENTYL)-OXID", MAKROMOLEKULARE CHEMIE, Vol. 97, pages 241-247, 1996				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							